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## Most semanticists who see a donkey sentence write about it.

 For insights and examples, I am indebted to Barker 96, Bäuerle and Egli 86, Brasoveanu 08, Brogaard 07, Chierchia 95, Dekker 93, Francez 09, Gawron, Nerbonne, and Peters 92, Geurts 02, Heim 82, Heim 90, Kadmon 90, Kamp 91, Kanazawa 94, Krifka 96, Lappin and Francez 94, Rooth 87, van Rooy 03, Schubert and Pelletier 89, von Fintel 94, Yoon 94, Yoon 96 and others

### An old idea: plural definites ≈ donkey pronouns

- Löbner 00: homogeneity in plural definites
  The books are/aren't in Dutch ≈ All/None of them are
- Yoon 96, Krifka 96: similarity to donkey sentences

The windows are shut/open  $\approx$  All/Some are Everyone with a window keeps it shut/open  $\approx$  all/one

Core idea: Sum-based analysis: [[it]] = [[the windows]]



## The parallel isn't in the semantics

 Kanazawa 01 deploys a battery of tests to show that the donkey pronoun "it" cannot refer to sums

Every donkey-owner gathers the donkeys at night \*Every farmer who owns a donkey gathers it at night

So if [[the windows]] is a sum, [[it]]≠ [[the windows]]!

## This talk: putting the parallel into the pragmatics

• Malamud 12, Križ 15: pragmatics of plural definites

Core idea: semantics produces truth-value gaps in mixed cases; pragmatics fills gaps with truth or falsity

 This talk: donkey sentences are *pragmatically* similar to plural definites

Pragmatics: a straightforward application of Križ 15 Semantics: plural compositional DRT (Brasoveanu 08) "Look Ma, no sums!"

## Goals of this talk

- Predict how context disambiguates donkey sentences
  - by building on a pragmatic account of how context disambiguates plural definites (e.g.Križ 15)
- Compositionally derive the semantic ambiguity
  - by using a trivalent dynamic plural logic to serve up truth-value gaps to the pragmatics (following a suggestion in Kanazawa 94)

## I will use this convention in my pictures



Entities in the denotation of the VP will be shown in **black** 

Entities not in the denotation of the VP, in grey

## Every farmer who owns a donkey beats it



Jake beats his donkey



George beats his donkey



Giles beats all of his donkeys

# Every farmer who owns a donkey beats it clearly true!



Jake beats his donkey



George beats his donkey



Giles beats all of his donkeys

## Every farmer who owns a donkey beats it



Jake beats his donkey



George beats his donkey



Giles beats none of his donkeys

# Every farmer who owns a donkey beats it clearly false!



Jake beats his donkey



George beats his donkey



Giles beats none of his donkeys

## Every farmer who owns a donkey beats it



Jake beats his donkey



George beats his donkey



Giles beats only one of his donkeys

# Every farmer who owns a donkey beats it not so clear!



Jake beats his donkey



George beats his donkey



Giles beats only one of his donkeys

## Every farmer who owns a donkey beats it

"Mixed scenario" ≈

someone doesn't treat all his donkeys the same way

- Intuitions "vacillate" (Heim 82)
- "I am simply not sure" (Rooth 87) his donkey
- Barker 96 suggests certain donkey sentences presuppose that the scenario isn't mixed

But in many mixed scenarios, intuitions are clear...

The farmers of Ithaca, N.Y., are stressed out. They fight constantly with each other. Eventually, they decide to go to the local psychotherapist. Her recommendation is that every farmer who has a donkey should beat it, and channel his aggressiveness in this way.

credited by Chierchia 95 to Paolo Casalegno

## Every farmer who owns a donkey beats it



Jake beats his donkey



George beats his donkey



Giles beats only one of his donkeys

#### Every farmer who owns a donkey beats it clearly true this time!



Jake beats his donkey



George beats his donkey



Giles beats only one of his donkeys

## Every farmer who owns a donkey reports it to the IRS



Jake reports his donkey



George reports his donkey



Giles reports only one of his donkeys

# Every farmer who owns a donkey reports it to the IRS clearly false

in this mixed scenario



Jake reports his donkey



George reports his donkey



Giles reports only one of his donkeys

## Goals influence pragmatic interpretation

van Rooij 03, Malamud 12 a.o.

## Anyone who catches a Zika fly should bring it to me

What if you catch several flies?

- Scientist looking for a sample: bring one!
- Health official trying to eradicate the species: bring all!

#### adapted from Gawron et al. 92

## Definite plurals work similarly

Löbner 2000, Malamud 2012, Križ 2015

## The doors are open



- Two doors are open, the third one is closed
- Doors are arranged in sequence

Löbner 2000, Malamud 2012, Križ 2015

### The doors are open clearly false!



- Two doors are open, the third one is closed
- Doors are arranged in sequence

Löbner 2000, Malamud 2012, Križ 2015

## The doors are open



#### The doors are open clearly true this time!



### Malamud 12, Križ 15 a.o. on plural definites

The semantics produces truth-value gaps:

- [[The doors are open]]
  - TRUE iff all the doors are open
  - FALSE iff no door is open
  - NEITHER iff some but not all of the doors are open

## Križ 15 on the pragmatics of truth-value gaps

The Current Issue ( $\approx$ QUD): a salient question that gives rise to an equivalence relation " $\approx$ " on worlds.  $w \approx w$ ' means that w and w' *agree on the current issue*.

Sentence S is judged true at  $w_0$  iff it is "true enough":

- that is, if S is True (at  $w_0$ ), or
- if S is Neither at  $w_0$ , True at some  $w \approx w_0$ , and not False at any  $w' \approx w_0$

Otherwise, S is judged false.

Precursors: Lewis 79; Lasersohn 99; Malamud 12

## Križ 15, applied to definites

A: "Can we reach the safe?" B: "The doors are open."



Wactual

A: "Can we reach the safe?" B: "The doors are open."

judged true



Wactual



### *W<sub>actual</sub>* safe reachable



WleftWactualWrightsafe reachablesafe reachablesafe blocked



At *w<sub>actual</sub>* "The doors are open" is neither true nor false.



At  $w_{actual}$  "The doors are open" is neither true nor false. But it is true at  $w_{left}$ . So it is true enough at  $w_{actual}$ .

True

true enough

False


A: "Can we reach the safe?" B: "The doors are open."



A: "Can we reach the safe?" B: "The doors are open." judged false









At *w<sub>actual</sub>* "The doors are open" is neither true nor false.



At  $w_{actual}$  "The doors are open" is neither true nor false. It is false at  $w_{bottom}$ . So it is not true enough at  $w_{actual}$ .



# Extending Križ 15 to donkey sentences

The farmers of Ithaca, N.Y., are stressed out. They fight constantly with each other. Eventually, they decide to go to the local psychotherapist. Her recommendation is that every farmer who has a donkey should beat it, and channel his aggressiveness in this way.

credited by Chierchia 95 to Paolo Casalegno





judged true (Chierchia 95)





"Is everyone channeling his aggressiveness?"





"Is everyone channeling his aggressiveness?"





Wactual

yes 9

"Is everyone channeling his aggressiveness?"



"Is everyone channeling his aggressiveness?"



At *w<sub>actual</sub>* the donkey sentence is neither true nor false.



At  $w_{actual}$  the donkey sentence is neither true nor false. But it is true at  $w_{left}$ . So it is true enough at  $w_{right}$ .

False

True True (enough)







judged false





"Is anyone breaking the law?"





"Is anyone breaking the law?"





Wactual

**yes** 57

"Is anyone breaking the law?"



58

"Is anyone breaking the law?"









Umbrellas left home are **black** (and with a house) Umbrellas taken along are grey (and without a house)



judged true



"Does everyone have an umbrella with him?"



"Does everyone have an umbrella with him?"



Wactual

yes

"Does everyone have an umbrella with him?"



W*left* 

yes

W<sub>actual</sub> yes

67

Wright

no



### No man who has a 10year-old son gives him the car keys



Sons that get the keys will be shown in **black** (and with keys)

Sons that don't get them, in grey (and without keys)

#### No man who has a 10-yearold son gives him the car keys



#### No man who has a 10-yearold son gives him the car keys

judged false



#### No man who has a 10-yearold son gives him the car keys

"Does every father behave responsibly?"


#### No man who has a 10-yearold son gives him the car keys

"Does every father behave responsibly?"



Wactual

no

#### No man who has a 10-yearold son gives him the car keys

"Does every father behave responsibly?"



yes

Wactual

74

no

Wright

#### No man who has a 10-yearold son gives him the car keys



### The theory so far

- Context sensitivity of donkey sentences is central (like Yoon 96, Krifka 96)
- Links definite plurals to donkey sentences (like Yoon 96, Krifka 96; building on Križ 15)
- No commitment to sums (unlike Yoon 96, Krifka 96)
- No commitment as to whether truth-value gaps are presuppositions (Barker 96: YES; Križ 15: NO)

# Compositional implementation

### The bird's-eye view



## Zooming in on the semantics



### The semantic pipeline



### Tasks for the semantics

- Generating and managing anaphora without sums
  - I will build on PCDRT (Brasoveanu 08).
- Generating truth value gaps
  - I will enrich PCDRT with error states (van Eijck 93) and assume that donkey pronouns produce gaps
- Projecting gaps and keeping them under control
  - Supervaluation quantifiers (van Eijck 96)

### Our semantic backbone: PCDRT (Brasoveanu 08)

- Constituents relate input (I) to output (O) states
- A state is a set of assignments i<sub>1</sub>, i<sub>2</sub> etc. that relate discourse referents u<sub>1</sub>, u<sub>2</sub> etc. to entities x, y etc.



# Restrictor (not today's focus)



# Restrictor (not today's focus)

- [[every<sup>u1</sup> farmer who owns a<sup>u2</sup> donkey]]
- I assume that all indefinites are strong: they introduce as many individuals as they can.
- For each farmer x, this will generate a \_\_\_\_\_\_\_ state in which every assignment maps  $u_1$  in the formula  $i_1$ to x and  $u_2$  to a different donkey that is a state in the formula  $i_2$ x owns



### Verb phrase





van Eijck 93

# DPL with error states (van Eijck 93)

- In DPL and related systems, information about the values of variables is encapsulated in a state, passed on from one subterm to the next.
- In DPL, states are assignment functions
- van Eijck adds error states: special assignments that prevent a formula from having a truth value
- Error states can be thrown, passed on, and caught

### PCDRT with error states

- Conventions:
  - We'll use the empty table  $\varepsilon$  as an error state
  - Most conditions return true on the error state
  - Most DRSs pass incoming error states onwards
- This requires various tweaks for bookkeeping

#### A PCDRT predicate denotes a test on each row

- farmer  $\rightarrow \lambda v. \lambda I \lambda O. I=O \&$  for all i in I. farmer(i(v)) (true if  $v=u_1$ )
- beats  $\rightarrow \lambda v \lambda v'$ .  $\lambda I \lambda O$ . I=O & forall i in I. beats(i(v),i(v')) (false if v=u<sub>1</sub>, v'=u<sub>2</sub>)
- No trivalence yet



## Introducing PCDRT shorthands

- farmer → λv. λlλO. I=O ∧∀i∈I. farmer(i(v))
  Shorthand: λv. [farmer{v}]
- beats → λvλv'. λlλO. I=O ∧∀i∈I. beats(i(v),i(v'))
  Shorthand: λvλv'. [beats{v,v'}]
- No trivalence yet



#### Conditions only have inputs, DRSs also have outputs

- A condition is a test on an input state:  $\lambda I \dots$ 
  - Atomic predicates:
    R{u} =<sub>def</sub> λI. ∀ i∈I. R(i(u))
- A DRS relates input to output states:  $\lambda I \lambda O \dots$ 
  - Lifting a condition C into a DRS:  $[C] =_{def} \lambda I \lambda O. C(I) \land I=O$
  - Random and targeted assignments of discourse referents:
    [u] =<sub>def</sub> λI λO. ∀i∈I ∃o∈O. i[u]o ∧ ∀o∈O ∃i∈I. i[u]o
    u:=x =<sub>def</sub> λI λO. [u](I)(O) ∧ ∀o∈O. o(u)=x

### Success, failure, error

- succeeds(D,I) =<sub>def</sub> ∃O≠ε. D(I)(O)
  D transitions to some non-error state
- fails(D,I) =<sub>def</sub>  $\neg \exists O. D(I)(O)$ D does not transition to any output state
- error(D,I) =<sub>def</sub> ∃O. D(I)(O) ∧ ∀O. (D(I)(O) → O=ε) D only transitions to error states

Mutually exclusive, jointly exhaustive.

# Static connectives turn DRSs into conditions

- DRS negation checks that the DRS fails on any nonempty substate of the input state:
  - ~D =<sub>def</sub>  $\lambda$ I.  $\forall$ H $\neq$  $\epsilon$ . H  $\subseteq$ I  $\rightarrow$  fails(D,H)
- DRS disjunction checks that at least one of the disjuncts succeeds:
  - D | D' =<sub>def</sub>  $\lambda$ I. succeeds(D,I)  $\vee$  succeeds(D',I)

#### Dynamic connectives turn DRSs into other DRSs

- DRS conjunction: apply the two DRSs in sequence
  - D; D' =<sub>def</sub>  $\lambda I \lambda O$ .  $\exists H. D(I)(H) \land D'(H)(O)$
- Maximalization: store as many different entities under column *u* as possible as long as D returns an output
  - max<sub>u</sub>(D) =<sub>def</sub> λlλO. (I=O=ε) ∨
    ([u]; D)(I)(O) ∧ ∀K. ([u]; D)(I)(K) → uK ⊆ uJ

where uK =<sub>def</sub> { x : there is an i in K such that x=i(u)}

#### Testing if a DRS treats all rows the same · uniformTest(D) =def λI. (D [~D])



# Goal: mixed worlds should trigger error states

O=I and v beats all the referents of u in I



or

beats it<sub>u</sub> → λν. λΙλΟ.

 $O = \varepsilon$  and v beats some but not all of the referents of u in I



or

(in the third case, no output matches the input)



#### The DRS *uniform* converts failed *uniformTests* into error states

 $\begin{array}{l} \text{uniform(D)} =_{\text{def}} \lambda I \ \lambda O. \\ (\text{uniformTest(D)(I)} \ \land \ I=O) \ \lor \ (\neg \text{uniformTest(D)(I)} \ \land \ O=\epsilon) \end{array}$ 





#### In pronouns, I depart from Brasoveanu 08

 In original PCDRT, *it<sub>u</sub>* tests if all assignments in the input agree on some atom as the referent of *u*.

it<sub>u</sub>  $\rightarrow \lambda P.$  [atom{u}]; P(u)

where atom{u} =<sub>def</sub>  $\lambda$ I.∃x.atom(x)  $\land \forall$ i∈I. i(u)=x

- This test precludes trivalence, so I'll drop it.
- I don't use sums, so I'll drop the atomicity check.

### I propose that pronouns introduce trivalence via *uniform*

it<sub>u2</sub>  $\rightarrow \lambda P.$  uniform(P(u<sub>2</sub>)) ; P(u<sub>2</sub>) brays  $\rightarrow \lambda v.$  brays{v}



### Pronouns in object position are type-lifted in the usual way

Lift(it<sub>u2</sub>)  $\rightarrow \lambda R \lambda v.$  uniform(R(u<sub>2</sub>)(v)) ; R(u<sub>2</sub>)(v) beats  $\rightarrow \lambda v' \lambda v.$  beats{v,v'}



# Embedding quantifier (not today's focus)



# Every farmer who owns a donkey beats it

- We can't just let errors bubble up to the top level.
- As soon as we find a farmer who doesn't beat any donkey of his, we know the sentence is false.



This farmer makes the sentence false



This farmer introduces a spurious error

### Ordinary quantifiers

Every A is a B

TRUE





Every A is a B

FALSE

### Supervaluation quantifiers

Every A is a B (SUPER)TRUE



(Everything inside A is definitely inside B)

### Supervaluation quantifiers

#### Every A is a B

(SUPER)FALSE



(Some things inside A are definitely outside B)

### Supervaluation quantifiers

#### Every A is a B

#### **NEITHER**



(Some things inside A may or may not be inside B)

# Supervaluation quantifiers and trivalent VP meanings

IJ

neither

108





clearly out



clearly in
# The supervaluation quantifier *every*<sub>u</sub>

- If the sentence is supertrue (that is, every farmer beats all of his donkeys), return the input state.
- Otherwise return an error... unless it is superfalse (that is, some farmer beats none of his donkeys).
- (In that case, do nothing.)

### The supervaluation quantifier every<sub>u</sub> $every_u = def \lambda D \lambda D' \lambda I \lambda O.$

 $(O=I \land \forall x. (succeeds(u:=x ; D)(I) \rightarrow succeeds(u:=x ; D ; D')(I)))$ 

 $(O=\varepsilon \land \neg \forall x. (succeeds(u:=x ; D)(I) \rightarrow succeeds(u:=x ; D ; D')$ (I))  $\land \exists x. (succeeds(u:=x; D)(I) \land fails(u:=x ; D ; D')(I)))$ 

## Overview of the semantics



## Overview of the pragmatics



## Conclusion

- Definite plurals and donkey sentences can be given a uniform pragmatic treatment (Yoon 96, Krifka 96)
- No need for sum individuals, so we avoid the problems in Kanazawa 01
- By combining van Eijck 93, van Eijck 96, and Brasoveanu 08, we can deliver trivalent semantics in a fully compositional way



Thanks to Justin Bledin, Adrian Brasoveanu, Jan van Eijck, Manuel Križ, and NYU colleagues and students for feedback and encouragement

## Bonus slides

for question/answer session

## Barker 96 on homogeneity

- The use of an adverbial quantifiers with an asymmetric readings presupposes homogeneity
- In mixed scenarios, if the quantifier is adverbial and the reading is asymmetric, this is violated
- Domain narrowing can come to the rescue by eliminating individuals

## Usually, if a man has a hat, he wears it to the concert.

- Can quantify over man-hat pairs (symmetric reading)
- Can quantify over men; in that case, presupposes scenario is not mixed
- If the scenario is mixed, domain narrowing can eliminate hats to help accommodating the presupposition

## When a professor has a computer problem, he usually solves it.

- 1 professor solved 70 out of 90 problems last year, thus violating homogeneity
- 10 professors each solved 0 of 1 problems
- Barker 96: homogeneity presupposition should lead to presupposition failure, or else domain narrowing should lead to truth by removing 20 hard problems
- But the sentence is judged false

# Every farmer who owns a donkey beats it

"What is the world like?"



# Predictions of maximally fine-grained current issues

- Every farmer  $\dots$  —> universal reading
- No farmer ... -> existential reading
- Most farmers ... -> universal reading
- A farmer ... -> universal (!) reading

# Predictions for uniqueness requirements of pronouns

- A: "This sick boy only speaks Welsh. Can anyone help him?"/"Is there a Welsh doctor in London?"
  B: "There is a doctor in London and he is Welsh."
- true enough despite the presence of non-Welsh doctors in London
- A: "How many Welsh doctors are in the city?" / "Are there any non-Welsh ones?"
  B: "There is a doctor in London and he is Welsh." not true enough due to non-Welsh doctors

## A DRS D resolves a DRS D' iff it makes it totally precise

resolves(D<sub>precise</sub>, D<sub>fuzzy</sub>) =<sub>def</sub>

 $\forall$ I. (succeeds(D<sub>fuzzy</sub>,I) → succeeds(D<sub>precise</sub>,I)) ∧  $\forall$ I. (fails(D<sub>fuzzy</sub>,I) → fails(D<sub>precise</sub>,I)) ∧  $\neg$ ∃I. error(D<sub>precise</sub>,I)

122

# Existential and universal readings

# Every farmer who owns a donkey reports it to the IRS clearly false

in this mixed scenario



Jake reports his donkey



George reports his donkey



Giles reports only one of his donkeys

## Every farmer who owns a

### CON... donkey will report all of his donkeys to the IRS<sup>learly false</sup> mixed scenario

Jake reports his donkey

### This is the universal reading

George reports his donkey



# Every man who has a hat will wear it to the concert



Hats that get worn will be shown in **black** 

Hats that don't get worn, in grey

## Every man who has a hat will wear it to the concert



Dekker 93; Chierchia 95

## Every man who has a hat will wear it to the concert

in this mixed scenario

Al will wear one of his two hats

Bill will wear his hat

Carl will wear his hat

Dekker 93; Chierchia 95

clearly true



#### 129

### Dekker 93; Chierchia 95



Sons that get the keys will be shown in **black** (and with keys)

Sons that don't get them, in grey (and without keys)



Al gives none of his sons the keys

Bill doesn't give his son the keys

Carl doesn't give his son the keys

clearly true

Al gives none of his sons the keys



Bill doesn't give his son the keys

Carl doesn't give his son the keys



Al gives both of his sons the keys

Bill doesn't give his son the keys

Carl doesn't give his son the keys

clearly false

Al gives both of his sons the keys



Bill doesn't give his son the keys

Carl doesn't give his son the keys



Al gives only one of his sons the keys

Bill doesn't give his son the keys

Carl doesn't give his son the keys

still false in this mixed scenario

Al gives only one of his sons the keys



Bill doesn't give his son the keys

Carl doesn't give his son the keys



## No man who has an umbrella leaves it home on a rainy day



Umbrellas left home are **black** (and with a house) Umbrellas taken along are grey (and without a house)

## No man who has an umbrella leaves it home on a rainy day

clearly true in this mixed scenario

Al leaves one of his umbrellas home (but takes another one with him)



Bill doesn't leave his umbrella home



Carl doesn't leave his umbrella home

## No man who has an umbrella

- Leaves all his umbrellas home on a clearly true rainy day this mixed scenario
  - Al leaves one of his umbrellas home This is the universal reading one with him)

Bill doesn't leave his umbrella home





### I will call a donkey sentence homogeneous if it is not judged true in mixed scenarios.

## Homogeneous sentences so far

- Every farmer who owns a donkey reports it to the IRS
- Every man who has a hat will leave it home tonight
- No man who has a 10-year-old son gives him the car keys

## Both universal and existential readings can be homogeneous

- Every farmer who owns a donkey reports all of his donkeys to the IRS —> universal
- Every man who has a hat will leave all his hats home tonight—> universal
- No man who has a 10-year-old son gives any of his sons the car keys—> existential

## Every man who has a hat will leave it home tonight



Al will leave *one* of his hats home (and take the other one with him)



Bill will leave his hat home

Ca

Carl will leave his hat home

Dekker 93; Chierchia 95
## Every man who has a hat will leave it home tonight



clearly false in this mixed scenario

Al will leave *one* of his hats home (and take the other one with him)



Bill will leave his hat home

Carl will leave his hat home

Dekker 93; Chierchia 95

## Every man who has a hat

## ... will leave all of his hats at home tonight in this mixed scenario Al will leave one of his hats home This is the universal reading



Carl will leave his hat home

## Dekker 93; Chierchia 95